

Annexure A

STATUTORY DISCLOSURE NOTICE

Prime Asset Managers (Pty) Ltd (Prime Asset Managers) is an authorised Financial Services Provider in terms of the Financial Advisory and Intermediary Services Act (Act No. 37 of 2002).

Prime Asset Managers has appointed **Grace Deposits Protection (Pty) Ltd**, trading as “Grace Nineteen”, as a Juristic Representative and authorised **Ndaedzo Madume** as its Representatives to provide Category I and/or II financial services (advice and/or intermediary services) in the following products. Where services are rendered under supervision, this is indicated with *.

Licence Product Categories	Grace Nineteen (Pty) Ltd	Ndaedzo Madume	
	Category I Advice & Intermediary - Other	Category I Advice & Intermediary - Other	Category II Intermediary - Other
Participatory interest in a hedge fund	x	x*	x*
Securities & Instruments	x	x*	x*
Shares	x	x*	x*
Money Market instruments	x	x*	x*
Debentures and securitised debt	x	x*	x*
Bonds	x	x*	x*
Participatory Interests in a collective investment scheme	x	x*	x*
Long-term Deposits	x	x*	x*
Short-term Deposits	x	x*	x*

FSP Name	Prime Asset Managers (Pty) Ltd	Licence Product Categories	Cat I	Cat II	Cat IIA
Registration No	2007/025932/07	Long-term Insurance subcategory A	x		
Licence	FSP Category I, II & IIA	Long-term Insurance subcategory B1 & B2	x	x	x
Licence Details	FSP 33933	Long-term Insurance subcategory B1-A & B2-A	x	x	x
Responsible Key Individuals	Stephen Pratt T: +44 7450028398 Shane Peters T: +27 829222220	Long-term Insurance subcategory C	x	x	x
		Participatory interest in a hedge fund	x	x	x
		Retail Pension Benefits	x	x	x
Compliance Officers	Masthead (Pty) Ltd	Pension Funds Benefit	x	x	x
		Securities & Instruments	x	x	x
Address	28 Peter Place, Lyme Park, Sandton	Shares	x	x	x
		Money Market instruments	x	x	x
Postal Address	PostNet Suite 208, Private Bag x 9, Benmore 2010	Debentures and securitised debt	x	x	x
		Structured Deposits	x	x	
Telephone No.	010 594 2100	Warrants, certificates & other instruments	x	x	x
Website	www.primeassetmanagers.com	Bonds	x	x	x
For more information regarding the Representatives (products, services under supervision etc.) visit the FSCA’s website, or contact the FSP for a copy of the Representative Register.		Derivative instruments (excl. Warrants)	x	x	x
		Participatory Interests in CIS	x	x	x
		Long-term Deposits	x	x	
		Short-term Deposits	x	x	
		Friendly Society Benefits	x		
		General Category IIA Experience			x

Key Individuals	Classes of Business	Cat I	Cat II	Cat IIA
Stephen Pratt	Investments	x	x	x
Shane Peters	Long-term Insurance	x	x	x

Pension Fund Benefits	x	x	x
Investments	x	x	x
Structured Deposits	x	x	
Short-Term and Long-Term Deposits	x	x	

Disclosure of statutory information

Group Compliance Department

Email: complaints@globaladmin.co.za
 Fax: 086 642 1880

Particulars of FAIS Ombud

Ground Floor, Block B, Sussex Office Park, 473 Lynnwood Road
 Cnr Lynnwood Road & Sussex Ave, 0081

E-mail : info@faisombud.co.za
 Tel: +27 12 470 9080 / +27 12 762 5000
 Website: www.faisombud.co.za

- Prime Asset Managers accept responsibility for its own actions as well as the actions of its representatives while acting in the course and scope of their employment and authorisation by Prime Asset Managers.
- Prime Asset Managers and its representatives will uphold their obligation in maintaining client confidentiality.
- No insurers receive more than 30% of our business.
- Prime Asset Managers does not hold more than 10% of shares in any product provider.
- Certain representatives are required to render advice and/or intermediary services under supervision. Further details will be provided on request or can be obtained from the FSCA's website – www.fsca.co.za.
- At this stage there have been no special exemptions granted to Prime Asset Managers by the FSCA.
- Prime Asset Managers hold sufficient professional indemnity cover.

Complaints

- Please note that prior to lodging a complaint with the above authorities, you are required to lodge a complaint with Prime Asset Managers and only after confirmation by Prime Asset Managers that they are unable to resolve the complaint may it be escalated to applicable authorities.
- We have established a written internal complaint resolution process. Access to the complaint's procedures and a copy of the complaint resolution process is available on our website. Complaints should be submitted to us in writing and must contain all relevant information. Copies of all relevant documentation must be attached thereto.

Responsibility for accurate and complete information

- Please note that in respect of any application, proposal, order, instruction or other contractual information that is required to be completed for, or submitted to a product supplier by or on your behalf that relates to the purchase of or investment in any financial product, including any amendment thereof or variation thereto, all material facts must be accurately and properly disclosed and the accuracy and completeness of all answers, statements or other information provided by you or on your behalf are your own responsibility.
- If any person completes or submits any application, proposal, order, instruction or other contractual information that is required to be completed for, or submitted to, a product supplier by you or on your behalf that relates to the purchase of or investment in any financial product, including any amendment thereof or variation thereto on your behalf, you should be satisfied as to the accuracy and completeness of the details
- Any misrepresentation or non-disclosure of a material fact or the inclusion of incorrect information could result in the cancellation of the transaction or the non-payment of a benefit by the product supplier.

Any conflict of interest or potential conflict of interest

- There is no conflict of interest that we are currently aware of. Our Conflicts of Interest Management Policy is available on our website.

Waiver of rights

- No person may ask you or offer any inducement for you to waive any right or benefit conferred on you by or in terms of any provision of the General Code of Conduct of the FAIS Act.

Signing of incomplete documents

- You are hereby advised and cautioned that no person acting on behalf of the FSP may in the course of rendering a financial service, request you to sign any written or printed document prior to completion thereof.